

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masayuki NAKAMOTO

SERIAL NO: New Application

GAU: Unassigned

FILED: Herewith

EXAMINER: Unassigned

FOR: FIELD EMISSION COLD CATHODE DEVICE OF LATERAL TYPE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were cited and/or provided in parent application serial no. 09/987,862 filed November 16, 2001, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

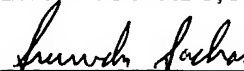
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Eckhard H. Kuesters

Registration No. 28,870

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>250291US2SRD CONT</b>		SERIAL NO. <b>New Application</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Masayuki NAKAMOTO</b>			
				FILING DATE <b>Herewith</b>		GROUP <b>Unassigned</b>	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,834,324	11/10/1998	Masayuki NAKAMOTO			
	AB	6,031,328	02/29/2000	Masayuki NAKAMOTO			
	AC	6,097,138	08/01/2000	Masayuki NAKAMOTO			
	AD	5,808,408	09/15/1998	Masayuki NAKAMOTO			
	AE	5,786,656	07/28/1998	Toshimichi HASEGAWA, et al.			
	AF	5,747,926	05/05/1998	Masayuki NAKAMOTO, et al.			
	AG	5,977,693	11/02/1999	Masayuki NAKAMOTO, et al.			
	AH	5,749,762	05/12/1998	Masayuki NAKAMOTO, et al.			
	AI	5,727,976	03/17/1998	Masayuki NAKAMOTO, et al.			
	AJ	5,499,938	03/19/1996	Masayuki NAKAMOTO, et al.			
	AK	5,793,153	08/1998	ITOH, et al.			
	AL	5,614,795	03/1997	KIM, Jong-min			
	AM	6,605,894	08/2003	CHOI, et al.			
	AN	2003/0122467	07/2003	CHO, et al.			
	AO	2002/0060516	05/2002	KAWATE, et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AX	Hei 8-227655	09/03/1996	JAPAN w/English Abstract		x	
	AY	2000-277003	10/06/2000	JAPAN w/English Abstract		x	
	AZ	2001-0056989	07/04/2001	KOREA		x	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	BA	Yahachi SAITO, et al. "Conical Beams From Open Nanotubes," Nature, Vol. 389, October 9, 1997. Pgs. 554-555					
	BB	S. UEMURA, et al. "Carbon Nanotube FED Elements," SID 98 Tech. Digest, 1998. Pgs. 1052-1055					
	BC	W.B. CHOI, et al. "A 4.5-in. Fully Sealed Carbon Nanotube-Based Field-Emission Flat-Panel Display" SID 99 Tech. Digest, 1999. Pgs. 1134-1137				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							